# PIII: GG and Microst. Evolution

## Outline:

Types of grain growth: Stationary vs. Nonstationary

- Liquid phase sintering (LPS)
  - Grain growth in a matrix (Ostwald ripening)
  - Effect of pores on microstructure development
  - Effect of interfacial energy anisotropy
- Solid state sintering (SSS)
  - Grain growth in a pure dense system
  - Effect of 2<sup>nd</sup> phase particles on grain growth
  - Effect of solute segregation on boundary migration
  - Effect of pores on microstructure development
  - Effect of boundary energy anisotropy

Mixed Mechanism Principle of Microstructural Evolution

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# Grain Growth and Microstructure



S. Y. Choi, Ph.D. Thesis, (KAIST, 2004)

D. Y. Yang and S.-J. L. Kang, *Int. J. Refract. H. Mater.*, 27, 90 (2009) KAIST, S-J L. Kang

# Chap. Liquid Phase Sintering

Qn: Why grain growth takes place during sintering?





Driving Force





Figure 15.1. (a) Typical phase diagram showing limited solubility of  $\chi_A^{\alpha,\infty}$  and  $\chi_B^{\beta,\infty}$  at temperature  $T_i$  and (b) schematic of the molar free energy versus composition at the temperature for  $\alpha$  precipitates with a flat interface (K = 0) and with a finite radius of curvature ( $K \neq 0$ ).

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## Lifshitz-Slyozov-Wagner (LSW) Theory

Basic Assumptions: (i) infinitely dispersed system (meaning?) (ii) constant interface mobility (meaning?)

#### Diffusion-controlled GG (by LSW)

 $a/a^* = a/\overline{a}$ 

Interaction btw. average-sized grain and an individual grain



# Lifshitz-Slyozov-Wagner (LSW) Theory

Basic Assumptions: (i) infinitely dispersed system (meaning?) (ii) constant interface mobility (meaning?)

Interface Reaction-controlled GG (by Wagner)

Interaction btw. average-sized grain and an individual grain

$$\frac{\mathrm{d}a}{\mathrm{d}t} = K(C_{\overline{a}} - C_{a}) = \frac{2K\gamma C_{\infty}V_{m}}{RT} \left(\frac{1}{\overline{a}} - \frac{1}{T}\right)$$

$$\overline{a_t}^2 - \overline{a_o}^2 = \frac{64}{81} \frac{K\gamma C_{\infty} V_m}{RT} t$$



(This Eq. is similar to that of NGG for a single phase system)

**Physically Wrong!** 

Wagner, Z. Electrochem., 65, 581 (1961).

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### Normal Grain Growth



Microstructure of 70W-30Ni alloy annealed at 1540°C for (a) 30 min. and (b) 15 h.

# Fundamentals of Grain Growth in a Matrix

Ostwald ripening: Result of growth/dissolution of individual grains



Interaction of an individual grain with a critical sized grain

$$\Delta g \propto \left(\frac{1}{\overline{h}} - \frac{1}{h_{\rm l}}\right) \gamma_{sl}$$

(Difference in Capillary Pressure)

Growth and dissolution of single crystal grains in a liquid matrix





S.D. Peteves and R. Abbaschian, Metall. Trans. A, 22 [6], 1259 (1991).

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## Grain Growth and Dissolution in a Liquid Matrix



Y.-I. Jung *et al., J. Mater. Res.,* **24**, 2949 (2009). S.-J. L. Kang *et al., J. Am. Ceram. Soc.*, **92**, 1464 (2009).

#### **Microstructural Evolution** during LPS

#### Mixed Mechanism Principle of Microstructural Evolution



Kang et al., J. Am. Ceram. Soc., 92, 1464 (2009), Kang et al., Chapter in Microstructural Design of Advanced Engineering Materials, D. Molodov (ed) Wiley VCH 299 (2013) KAIST, S-J L. Kang





#### Figure 6.13 (a) variation or growth rate of a grain with respect to the driving force normalized to $2\gamma_{a}V_{m}$ for various critical driving forces, which are governed by the step free energy $\sigma_{a}$ of facets. Schematic equilibrium shapes of a grain for different step free energies realize dream The driven grapes there with are also she n. The dotted curve shows the

(a) 1 Frequency plot of grains in a system with  $\alpha_{\tau} = 0.49 hy_{th}$  where the average grain radius is g 0.5 µm and the standard deviation is 0.05 µm; (b) Variation of the average radius of grains with calculation time steps for systems shown in sep panel (a). For the calculation, the data used in Ber f R41 were utilized. Ref. [84] were utilized.

S	5J. L. Kang, "Sintering" in Ceramic Science and Technology
	vol. 3, 143-167, Riedel and IW. Chen (eds),
	Weily-VCH (2012).
S	S-1.1. Kang et al. 1. Am. Ceram. Soc. 92, 1464 (2009).

Y.-I. Jung *et al., J. Mater. Res.,* **24**, 2949 (2009).

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Y. K. Cho and D. Y. Yoon, J. Am. Ceram. Soc., 87, 443 (2004).



B.-K. Yoon, B.A. Lee and S.-J.L. Kang, Acta Mater., 53, 4677 (2005). KAIST, S-J L. Kang

## **Experimental Supports for the Principle**

#### **Experimental Observations and Interpretations** (Two-Phase Systems)

# Effect of Δg<sub>c</sub> (T, Dopant, P<sub>02</sub>) Sialon, Si<sub>3</sub>N<sub>4</sub> (Kang and Han, 1995)

- SrTiO<sub>3</sub> (Chung *et al.*, 2002 (Dopant, P<sub>O<sub>2</sub></sub>))
- NBT-BT (Moon and Kang, 2008)
- BaTiO<sub>3</sub> (Chang and Kang, 2009)
- NBT-BT (Moon et al., 2011 (Dopant))
- NbC-Co (Cho and Yoon, 2004 (T))
- NbC-Fe (Oh et al., 2000 (Dopant))
- PMN-PT (Wallace *et al.,* 2002 (Dopant))

#### Effect of Δg<sub>max</sub>

- •BaTiO<sub>3</sub> (Jung *et al.,* 2003)
- WC-Co (Park et al., 1996)

- SiC (Jang *et al.*, 1996 (P<sub>0γ</sub>))
- PMN-PT (Kim *et al.,* 2006, (Dopant, T))
- KNN (Fisher et al., 2009)
- BaTiO<sub>3</sub> (Heo *et al.*, 2011 (P<sub>O<sub>2</sub></sub>))
- Alumina (Park et al., 2002 (Dopant))
- NbC-Co (Lee and Yoon, 2005 (Dopant))
- (Nb,Ti)C-Co (Choi *et al.*, 2002 (Dopant))
- WC-Co (Lee et al., 2003 (Dopant))
- SrTiO<sub>3</sub> (Sano *et al.,* 2007) etc.
- TiC-WC-Co (Yoon *et al.*, 2005)

# Chap. Solid State Sintering

GG: Increase in average grain size Result of boundary migration

**Driving Force** 



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## Driving Force for Grain Growth





Etched and polished section of Al<sub>2</sub>O<sub>3</sub>

#### **Driving Force for the Growth of a Grain**

$$\Delta \mathbf{g} = 4\gamma_b \left(\frac{1}{\overline{G}} - \frac{1}{\overline{G}}\right) \propto \left(\frac{1}{\overline{G}} - \frac{1}{\overline{G}}\right)$$

The mean field concept is adopted.

## Atomic Motion in Boundary Migration

 $\Delta \mathbf{g}$  (Capillary energy)=  $(2\gamma_b/r) V_{\rm m}$ 



Kang *et al., J. Ceram. Soc. Jpn.,* 124, 259 (2016).



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# Effect of 2<sup>nd</sup> Phase Particles

Smith-Zener Effect

Qn: What is the thermodynamic basis of the Smith-Zener effect?



Addition of BT particles to Ni powder in fabrication of MLCC: an application example of Zener drag Smith CS. AIME, **175**, 15 (1949). Manohar PA, et al., *ISIJ Inter.*, **38**, 913 (1998).

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# Effect of solute segregation

Solute/Impurity segregation

Qn: Why solutes segregate at the grain boundary?

- Solute Segregation at GB
- Many models and theories of GB segregation.
- The simplest one is McLean's model that assumes mono-layer segregation of a single adsorbate without interference btw solvent and solute atoms (no site-to-site interaction, cf: regular solution model).

$$\frac{X_B^b}{X_A^b} = \frac{X_B}{X_A} \exp\left(\frac{-\Delta E}{kT}\right)$$
 Derived by use of (i) statistical thermodynamics or  
(ii) the mass action law

 $\Delta E$ : free energy of segregation

Qn: What can be the factors that affect solute segregation?

# Effect of solute segregation

#### Solute/Impurity drag

Qn: Drag force of the segregated solutes against the boundary migration? Qn: The difference btw the Smith-Zener drag and the solute drag?

#### Derivation of the drag force

(i) calculation of C(x) from eq. (ii) calculation of the net drag force

$$F_b^d = -\int_{-\infty}^{\infty} n(x) \frac{dE}{dx} dx$$
$$= -N_v \int_{-\infty}^{\infty} [C(x) - C(\infty)] \frac{dE}{dx} dx$$

An approximated solution:  $F_b^d = \frac{\alpha C_\infty v_b}{1 + \beta^2 v_L^2}$ 

 $\alpha$ : the drag force per unit concentration of solute and per unit velocity of moving boundary when  $\beta^2 v_{h}^2 \ll 1$ .

β: the time required for solute atoms to diffuse one unit distance. (the inverse of the drift velocity)



#### Effect of solute segregation **Boundary migration** $F_{b}^{t} = F_{b}^{o} + F_{b}^{d} = \frac{v_{b}}{M_{b}^{o}} + \frac{\alpha C_{\infty} v_{b}}{1 + \beta^{2} v_{b}^{2}} = v_{b} \left(\frac{1}{M_{b}^{o}} + \frac{\alpha C_{\infty}}{1 + \beta^{2} v_{b}^{2}}\right)$ Two extreme cases Driving force, F<sub>b</sub> $v_b \ll \beta^{-1}$ $v_b = \frac{F_b^t}{(1/M_b^o) + \alpha C_\infty} \approx \frac{1}{\alpha C_\infty} F_b^t$ total driving force F intrinsic drag Fb nninc $v_b \gg \beta^{-1}$ $v_b \approx M_b^o F_b^t$ high C impurity drag Fb low C. Boundary velocity, v<sub>b</sub> Qn: Boundary mobility in /elocity w/o segregation McLean model? with segregation Drag = f(segregation, diffusivity)Force

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# Microstructure Development



A few points of consideration:

 Densification is governed by driving force (pore size) and densification mechanism.

Pore size varies with grain size.

- Grain growth is affected by grain size (driving force) and boundary migration mechanism. Boundary control vs. Pore control (pore migration mechanisms)
- Location of pores 4-grain corner, 3-grain edge, 2-grain boundary

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## Mobility of an Isolated Pore



Figure II.I. Possible mechanisms of pore migration with a grain boundary.



Zener drag

Table II.I. Mobility of pores in porous systems<sup>12</sup> Mobility,  $M_p$ Migration mechanism Surface diffusion  $M_p^s = \frac{D_s \delta_s \Omega}{\pi r^4 k T} \propto \frac{1}{r^4}$ Lattice diffusion  $M_p^l = \frac{D_l \Omega}{\pi r^3 k T} \propto \frac{1}{r^3}$ Gas diffusion  $M_p^g = \frac{D_g p_\infty \Omega^2}{2\pi r^3 (kT)^2} \propto \frac{1}{r^3}$ Evaporation/condesation  $M_p^{e/c} = \frac{p_\infty \Omega^2}{\sqrt{2mr^2}} \left(\frac{1}{\pi kT}\right)^{3/2} \propto \frac{1}{r^2}$ KAIST, S-J L. Kang

#### Pore Migration and Grain Growth

#### Qn: (i) move together, (ii) separated from the boundary

Boundary velocity in the presence of pores  $v_b = M_b(F_b - NF_p)$ (i) Boundaries move together with pores (ii) Pores are separated from boundary





 $F_b > \left(\frac{M_p}{M_b} + N\right)F_p$ 

Brook, J. Am. Ceram. Soc., 52, 56 (1969).

For surface diffusion-controlled pore migration (boundary migration)

Harmer, in Structure and Properties of MgO and Al2O3 Ceramics, W. D. Kingery (ed.), Am. Ceram. Soc. Inc., Columbus, 679 (1985) KAIST, S-J L. Kang

#### Densification and Grain Growth: Microstructure Development



# Effect of Grain (Particle) Size

#### Examples

Densification : lattice diffusion Grain Growth : surface diffusion



Densification : grain boundary diffusion Grain Growth : evaporation/condensation



Relative densification and coarsening rates vs. grain size.

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# Abnormal (Exaggerated) **GG**

#### An extreme type of Grain Growth



at 1250 °C for 50 h

#### Bimodal size distribution of grains

- the result of fast growth of a few (some) grains and essentially no growth of matrix grains

Observation of AGG in many different systems

- (i) highly pure systems
- (ii) highly impure systems
- (iii) systems with second phase particles
- 3 (iv) systems with a liquid matrix

#### Phenomenological Description of AGG

 $\frac{\mathrm{d}G_a}{\mathrm{d}t} = \frac{D_b^{\perp}}{RT} \frac{2\gamma_b}{\beta \overline{G}_m} \frac{V_m}{\omega} \qquad \overline{G}_{a,t} - \overline{G}_{a,t_o} = \frac{2D_b^{\perp} \gamma_b V_m}{\beta RT \overline{G}_m \omega} t$ 

Consider the growth of a single crystal into a polycrystal in a single/poly bilayer sample!

## Suggested Mechanisms of AGG

#### **Early Mechanisms**



- (i) Break-away of grain boundary from second phase particles (since 1950's)
- (ii) Break-away of grain boundary from segregated impurities (since 1960's)
- (iii) Uneven distribution of a second phase, in particular, a liquid (since 1970's)"Complexion" hypothesis (since 2000's)
- (iv) Anisotropy in boundary mobility and boundary energy (simulation studies)

#### **Recent Mechanism**

(v) Change in boundary migration mechanism with respect to the driving force





Kang et al., J. Ceram. Soc. Jpn, 124, 259 (2016).

Kang *et al., J. Am. Ceram. Soc*, **98**, 347 (2015). KAIST, S-J L. Kang

#### Common Feature in the Previous Models and Mechanisms

#### **Diffusion-Controlled Boundary Migration**





- (i) Particle (pore) drag : Reduction of  $\Delta g$
- (ii) Impurity drag : Reduction of  $\Delta g$
- (iii) Liquid Film : Change in  $\Delta g^*$  and  $\delta_b$
- (iv) Anisotropy of  $\gamma_b$  and  $M_b$ : Change in  $\Delta g$  and  $\Delta g^*$

**Possibility of Interface Reaction-Controlled Boundary Migration ?** 

Kang et al., J. Am. Ceram. Soc., 98 347 (2015).

# Two Types of Grain Boundaries

Rough (atomically disordered) Faceted (atomically ordered)





Ti-excess BaTiO<sub>3</sub> in H<sub>2</sub> Variables: *T*, *dopant*, *Po*<sub>2</sub>

> Choi and Kang, *Acta. Mater.* **52**, 2973 (2004). S.-J. L. Kang, Chap.6, "Sintering" in *Ceramic Science and Technology* (Ed : R. Riedel and I.-W. Chen) Wiley-VCH, 143-69 (2012).

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#### Migration Mechanism of Faceted Boundary

Migration of a Singular GB in Au by the Step Growth Mechanism



K.L. Merkle and L.J. Thompson, *Mater. Lett.*, 48, 188 (2001).



#### Migration Mechanism of $\sum 7 \alpha$ -Al<sub>2</sub>O<sub>3</sub> Boundary

# Migration Behavior of Faceted Boundary



An et al., Acta Mater. 60, 4531 (2012) .

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## **Summary of Recent Findings**

#### Migration mechanism of grain boundary

#### is **not** dependent on

the presence of a liquid (film),

the presence of solutes, or

the presence of a 2<sup>nd</sup> phase (particles) at the boundary

but dependent on

the morphology (atomic structure) of the grain boundary:

Diffusion control for rough boundary Mixed control (diffusion or interface reaction) for faceted boundary



## Mixed Control Mechnism of Grain Growth

## **Microstructural Evolution in Polycrystals**

Mixed Mechanism Principle of Microstructural Evolution



Coupling of $\Delta g_c$ &	$\Delta g_{max}$
(i) ∆g <sub>c</sub> = 0	NGG
(ii) $0 < \Delta g_c << \Delta g_{max}$	PNGG
(iii) 0< $\Delta g_c \leq \Delta g_{max}$	AGG
(iv) $0 < \Delta g_{max} << \Delta g_{c}$	SGG

Kang *et al., J. Am. Ceram. Soc.,* **92**, 1464 (2009), Kang *et al., C*hapter in Microstructural Design of Advanced Engineering Materials, D. Molodov (ed) Wiley VCH, 299 (2013) Kang *et al., J. Ceram. Soc. Jpn.*, 124, 259 (2016)



## Microstructural Observation during SSS

# UFG Ni sintered for 1 h at various temperatures

S. H. Jung et al., Acta Mater, 69, 283 (2014)





S. H. Jung et al., Acta Mater, 69, 283 (2014)



22

#### Experimental Supports for the Principle

#### Experimental Observations and Interpretations (Single Phase Systems)

# Effect of Ag<sub>c</sub> (T, Dopant, P<sub>02</sub>) BaTiO<sub>3</sub> (Lee *et al.*, 2000(P<sub>02</sub>); Jung *et al.*, 2006 (P<sub>02</sub>); Chang and Kang, 2009 (T), An and Kang, 2011 (Dopant, P<sub>02</sub>); Moon, 2018 (t, P<sub>02</sub>)) SrTiO<sub>3</sub> (Chung *et al.*, 2002 (Dopant, P<sub>02</sub>)) Nickel (Jung *et al.*, 2013, 2014 (T, P<sub>02</sub>)) Na<sub>1/2</sub>Ba<sub>1/2</sub>TiO<sub>3</sub>-BaTiO<sub>3</sub>-K<sub>1/2</sub>Na<sub>1/2</sub>NbO<sub>3</sub> (Park *et al.*, 2016 (Dopant)) Na<sub>1/2</sub>Ba<sub>1/2</sub>TiO<sub>3</sub>-BaTiO<sub>3</sub> (Ko *et al.*, 2016 (T)) Nickel (Lee *et al.*, 2000 (T)) Cu (Koo and Yoon, 2001) 316L stainless steel (Lee *et al.*, 2003, 2004 (Dopant))

Effect of ∆g<sub>max</sub>
 BaTiO<sub>3</sub> (Jung et al., 2003; Yang et al., 2006)

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Kang et al., J. Am. Ceram. Soc., 98 347 (2015).

# Application Example of the Principle



Kang et al., J. Am. Ceram. Soc., 98 347 (2015).

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# Concluding Remarks

Microstructural Evolution in Polycrystals Boundary Structure Dependent (T, P<sub>0,</sub>, Dopant)

#### •Rough Boundary:

Linear behavior of boundary migration ( $\Delta g_c=0$ ) Stationary GG: NGG

#### Faceted Boundary:

Nonlinear behavior of boundary migration ( $\Delta g_c \neq 0$ ) Nonstationary GG: time dependent, typically AGG



-Relative contribution of nonlinear region to overall behavior:  $\label{eq:gmax} \Delta g_{max} ~ \mathcal{VS} ~ \Delta g_{c}$ 

Kang et al., J. Am. Ceram. Soc., **92**, 1464 (2009) Kang et al., Chapter in Microstructural Design of Advanced Engineering Materials, D. Molodov (ed) Wiley VCH, 299 (2013)



# Summary of GG Studies

#### Liquid Phase Sintering (Ostwald ripening)

- LSW and modified LSW theories from the  $60^{\prime}\!s$  to  $90^{\prime}\!s$  for normal grain growth
- Essentially no fundamental studies on AGG until late 90's
- Development of the Mixed Mechanism Theory of grain growth and Mixed Mechanism Principle of microstructural evolution between late 90's and 2000's

#### Solid State Sintering

- Theoretical/experimental and simulation studies on GG for pure and impure systems as well as systems with 2<sup>nd</sup> phase particles and liquid films from the 50's to 2000's
- The early mechanisms fail to explain AGG observed in many different systems.
- The Mixed Control Mechanism of boundary migration and the Mixed Mechanism Principle of microstructural evolution KAIST, S-J L. Kang

# Effect of Interface Structure on Densification



Mixed Control (Diffusion and Interface Reaction) KAIST, S-J L. Kang

#### Fraction of Faceted Grain Boundary **Boundary Structural Change Ti-excess BaTiO<sub>3</sub>** 1.0 high $\sigma_s$ 0.9 の<sup>0.9</sup> の<u>0.8</u> Boundary Step Free Energy SGG Fraction of Faceted 500 Facete 100 h 0.1 um low $\sigma_s$ 0.0 10<sup>-18</sup> 10<sup>-16</sup> 10<sup>-1</sup> 10<sup>-17</sup> 10<sup>-19</sup> Oxygen Partial Pressure, [atm] Y.-I. Jung et al., Acta Mater., 54, 2849 (2006). KAIST, S-J L. Kang



Effect of Boundary Structure on Densification

M.-G. Lee et al., Acta Mater., 59, 692 (2011).

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#### Effect of Boundary Structure on Densification



M.-G. Lee et al., Acta Mater., 59, 692 (2011).

# Faceting-dependent Limit of Densification



M.-G. Lee et al., Acta Mater., 59, 692 (2011).

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# Exercises:

- Dissolution and growth shape of faceted grains
- System NbC-Co:
  - Grain shape at low temperature
  - Growth mechanism of faceted grains
  - Effect of  $f_l$  on grain growth behavior
- $v_b$  vs. T for a polycrystal with high solute segregation
- AGG

   Effects of particle size and temperature